EQUALIZATION OF ROTATIONALLY VARIANT SIGNALS

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ABSTRACT

Several widely linear equalization algorithms utilizing the rotationally variant nature of the received signals are presented in this paper to combat the detrimental effect of intersymbol interference (ISI) introduced by frequency selective channels. Their adaptive implementations and application to the time-reversal space-time coded (TR-STBC) system are also considered. In addition, a widely linear approach to turbo equalization is derived for systems employing error correction code. The widely linear equalizers and turbo equalizer are evaluated over broadband fixed wireless access channels, and are shown to yield superior performance compared to the conventional linear schemes.

KEY WORDS

Equalization, intersymbol interference, rotationally variant nature of the received signals, frequency selective channels.

1 Introduction

One of the limiting factors in outdoor wireless transmission is the multipath channel between the transmitter and the receiver giving rise to intersymbol interference (ISI), which degrades the system performance and limits the maximum achievable data rate. The problem can be tackled by employing OFDM technology [1], which transforms the frequency selective channel into a number of parallel flat fading channels. Another effective remedy to combat the detrimental effects caused by ISI is the use of equalization, which is the focus of this study.

For a complex random vector $\mathbf{r}$, its second-order averages are completely characterized by its autocorrelation matrix $\mathbf{C} = \mathbb{E}[\mathbf{r}\mathbf{r}^H]$ as well as the pseudo-autocorrelation matrix $\tilde{\mathbf{C}} = \mathbb{E}[\mathbf{r}\mathbf{r}^T]$ [2]. The superscript operators $(\cdot)^H$, $(\cdot)^*$, $(\cdot)^T$ denote the conjugate transpose, conjugate, and transpose operations, respectively. Most existing studies on receiver algorithms only exploit the information contained in the autocorrelation function of the observed signal. The pseudo-autocorrelation matrix $\tilde{\mathbf{C}}$ is usually not considered and is implicitly assumed to be zero. While this is the optimum strategy when dealing with rotationally invariant complex random processes (i.e., when pseudo-autocorrelation $\tilde{\mathbf{C}}$ is vanishing) [3], it turns out to be sub-optimum in situations where the transmitted signals and/or interference are rotationally variant complex random processes (i.e., $\tilde{\mathbf{C}}$ is non-vanishing), for which the performance of a linear receiver can generally be improved by the use of widely linear processing (WLP) [4]. It was shown in [5] that for systems employing a real valued constellation with complex valued channel coefficients, full exploitation of the available information on the second-order statistics of the observations entails the use of WLP, i.e., the received signal and its complex conjugate are separately filtered and results are linearly combined. The results show that the proposed systems outperform the other systems currently known in the literature. The strategy proposed in [5] was employed in [6] for the derivation of widely linear (WL) equalization schemes.

In this paper, we provide a thorough treatment for the widely linear processing of rotationally variant signals. First, we discuss the WL equalization design in an uncoded system, then extend the schemes to a space-time coded system, and finally derive a WL based turbo equalizer which integrates the WL equalizer and a soft-input, soft-output channel decoder in an iterative manner.

2 WLP for uncoded system

First, we study the application of WLP in an uncoded system. The baseband equivalent of the transmission system under study is described as follows. The information bits are first mapped into BPSK symbols $\{b_n\}$, which are subsequently transmitted over a frequency selective channel. The channel can be modelled by an equivalent baseband system where the concatenation of the transmit filter, the channel and the receive filter, is represented by a discrete-time T -tap transversal filter with finite-length impulse response $h_n = \sum_{t=0}^{T-1} h_t \delta_{n-t}$ where h_t denotes the complex channel coefficients. The received signal is formed as

$$r_n = \sum_{t=0}^{T-1} h_t b_{n-t} + v_n, \quad (1)$$

The transmitted symbol at time instant n is denoted as b_n , and v_n is the complex additive white Gaussian noise with zero mean and variance N_0 .

The task of the receiver is to detect the transmitted symbols $\{b_n\}$ given the received observation $\{r_n\}$. From (1), we see that the desired symbol is corrupted with ISI and AWGN. An equalizer is needed to combat ISI and to improve the error rate performance. The conventional linear MMSE equalization scheme and its improved versions using WLP will be discussed next.

2.1 Conventional LMMSE equalization

The conventional linear MMSE equalizer (with $2L + 1$ taps and detection delay d) is illustrated in Fig. 1 and is designed to minimize the mean square error (MSE) between the equalizer output z_n and symbol b_{n-d} [7]

$$\epsilon_n = E\{|z_n - b_{n-d}|^2\} = E\{|\mathbf{a}^H \mathbf{r}_n - b_{n-d}|^2\}. \quad (2)$$

The output z_n is formed as $z_n = \sum_{k=0}^{2L} a_k^* r_{n-k} = \mathbf{a}^H \mathbf{r}_n$, where $\mathbf{r}_n = [r_n \ r_{n-1} \ \cdots \ r_{n-2L+1} \ r_{n-2L}]^T$, and $\mathbf{a} = [a_0 \ a_1 \ \cdots \ a_{2L-1} \ a_{2L}]^T$. The decision is made according to

$$\hat{b}_{n-d} = \text{sgn}(\text{Re}\{z_n\}) = \text{sgn}(\text{Re}\{\mathbf{a}^H \mathbf{r}_n\}).$$

The coefficients vector $\mathbf{a}$ is computed as [7] $\mathbf{a} = (E[\mathbf{r}_n \mathbf{r}_n^H])^{-1} E[\mathbf{r}_n^H b_{n-d}] = \mathbf{C}_{\mathbf{r}\mathbf{r}}^{-1} \mathbf{C}_{\mathbf{r}b}$, where $\mathbf{C}_{\mathbf{r}b}$ is the cross-correlation vector, and $\mathbf{C}_{\mathbf{r}\mathbf{r}}^{-1}$ is the inverse of the autocorrelation matrix $\mathbf{C}_{\mathbf{r}\mathbf{r}}$. They are computed as

$$\mathbf{C}_{\mathbf{r}\mathbf{r}} = E[\mathbf{r}_n \mathbf{r}_n^H]; \quad \mathbf{C}_{\mathbf{r}b} = E[\mathbf{r}_n b_{n-d}]. \quad (3)$$

2.2 MMSE equalization with WLP

For the widely linear (WL) MMSE equalizer, the cost function is re-defined as

$$\epsilon'_n = E\{|z'_n - b_{n-d}|^2\} = E\{|\text{Re}\{\mathbf{a}^H \mathbf{r}_n\} - b_{n-d}|^2\}, \quad (4)$$

The reasoning for this modification is that a conventional MMSE filter yields a complex valued filter output. However, only the real part of this output is relevant for the decision in a system with real valued constellation. Minimization of (4) will result in a better estimator than the one designed under the criterion expressed by (2) since $\epsilon'_n < \epsilon_n$ [6]. With this revised MMSE scheme, the decision is made according to

$$\hat{b}_{n-d} = \text{sgn}(z'_n) = \text{sgn}(\mathbf{a}^H \mathbf{r}_n + \mathbf{a}^T \mathbf{r}_n^*).$$

We know that

$$\text{Re}\{\mathbf{a}^H \mathbf{r}_n\} = \frac{1}{2}[\mathbf{a}^H \mathbf{r}_n + (\mathbf{a}^H \mathbf{r}_n)^*] = \frac{1}{2}[\mathbf{a}^H \mathbf{r}_n + \mathbf{a}^T \mathbf{r}_n^*]. \quad (5)$$

Figure 1. MMSE equalizer with $2L + 1$ taps and detection delay d .

Substituting (5) into (4) yields

$$\begin{aligned}
\epsilon_n &= \mathbb{E}\{|\text{Re}\{\mathbf{a}^H \mathbf{r}_n\} - b_{n-d}|^2\} = \mathbb{E}\left\{\left|\frac{1}{2}\mathbf{a}^H \mathbf{r} + \frac{1}{2}\mathbf{a}^T \mathbf{r}^* - b_{n-d}\right|^2\right\} \\
&= \mathbb{E}\left\{\left(\frac{1}{2}\mathbf{a}^H \mathbf{r} + \frac{1}{2}\mathbf{a}^T \mathbf{r}^* - b_{n-d}\right)\left(\frac{1}{2}\mathbf{a}^H \mathbf{r} + \frac{1}{2}\mathbf{a}^T \mathbf{r}^* - b_{n-d}\right)^*\right\} \\
&= \frac{1}{4}\mathbf{a}^H \mathbf{C}_{rr} \mathbf{a} + \frac{1}{4}\mathbf{a}^H \tilde{\mathbf{C}}_{rr} \mathbf{a}^* - \frac{1}{2}\mathbf{a}^H \mathbf{C}_{rb} + \frac{1}{4}\mathbf{a}^T \tilde{\mathbf{C}}_{rr}^* \mathbf{a} \\
&\quad + \frac{1}{4}\mathbf{a}^T \mathbf{C}_{rr}^* \mathbf{a}^* - \frac{1}{2}\mathbf{a}^T \mathbf{C}_{rb}^* - \frac{1}{2}\mathbf{C}_{br} \mathbf{a} - \frac{1}{2}\tilde{\mathbf{C}}_{br} \mathbf{a}^* + \sigma_b^2,
\end{aligned}$$

where $\mathbf{C}_{rr}$ and $\mathbf{C}_{rb}$ are defined in (3) and $\mathbf{C}_{br} = \mathbb{E}\{b_{n-d} \mathbf{r}_n^H\}$, $\tilde{\mathbf{C}}_{rr} = \mathbb{E}\{\mathbf{r}_n \mathbf{r}_n^T\}$. In the case of transmitting real-valued data over complex-valued channel, the pseudo-autocorrelation matrix $\tilde{\mathbf{C}}_{rr}$ is non-vanishing [6] and utilization of the rotationally variant property of the received signal $\mathbf{r}_n$ will consequently lead to a better performance. Differentiating ϵ_n with respect to $\mathbf{a}$ and setting it to zero, yields

$$\mathbf{a} = (\mathbf{C}_{rr} - \tilde{\mathbf{C}}_{rr} \mathbf{C}_{rr}^{T-1} \tilde{\mathbf{C}}_{rr}^H)^{-1} (\mathbf{C}_{rb} - \tilde{\mathbf{C}}_{rr} \mathbf{C}_{rr}^{T-1} \mathbf{C}_{rb}^*). \quad (6)$$

2.3 Decision feedback equalizer with WLP

Here, we discuss how WLP can be applied to decision feedback equalizer (DFE). The output of the WL DFE and cost function are formed as

$$\begin{aligned}
z'_n &= \text{Re}\{\mathbf{a}^H \mathbf{r}_n\} + \mathbf{g}^T \hat{\mathbf{b}}_n = \begin{bmatrix} 0.5\mathbf{a}^H & 0.5\mathbf{a}^T & \mathbf{g}^T \end{bmatrix} \begin{bmatrix} \mathbf{r}_n \\ \mathbf{r}_n^* \\ \hat{\mathbf{b}}_n \end{bmatrix} \\
&= \begin{bmatrix} 0.5\mathbf{a} \\ 0.5\mathbf{a}^* \\ \mathbf{g} \end{bmatrix}^H \begin{bmatrix} \mathbf{r}_n \\ \mathbf{r}_n^* \\ \hat{\mathbf{b}}_n \end{bmatrix} = \boldsymbol{\alpha}_g^H \mathbf{y}_n \\
\epsilon_n &= \mathbb{E}\{|b_{n-d} - z'_n|^2\} = \boldsymbol{\alpha}_g^H \mathbf{C}_{yy} \boldsymbol{\alpha}_g - \boldsymbol{\alpha}_g^H \mathbf{C}_{yb} - \mathbf{C}_{by} \boldsymbol{\alpha}_g + \sigma_b^2,
\end{aligned} \quad (7)$$

where $\mathbf{g} = [g_0 \ g_1 \ \cdots \ g_{M-1}]^T$ and $\hat{\mathbf{b}}_n = [\hat{b}_{n-1} \ \hat{b}_{n-2} \ \cdots \ \hat{b}_{n-M}]^T$ are the feedback filter coefficient vector and the decision feedback vector, respectively. The other vectors and matrices are defined as

$$\begin{aligned}
\boldsymbol{\alpha}_g &= [0.5\mathbf{a} \ 0.5\mathbf{a}^* \ \mathbf{g}]^T; \quad \mathbf{y}_n = [\mathbf{r}_n \ \mathbf{r}_n^* \ \hat{\mathbf{b}}_n]^T \\
\mathbf{C}_{yy} &= \mathbb{E}\{\mathbf{y}_n \mathbf{y}_n^H\} = \mathbb{E}\left\{\begin{bmatrix} \mathbf{r}_n \\ \mathbf{r}_n^* \\ \hat{\mathbf{b}}_n \end{bmatrix} \begin{bmatrix} \mathbf{r}_n^H & \mathbf{r}_n^T & \hat{\mathbf{b}}_n^H \end{bmatrix}\right\} \\
\mathbf{C}_{by} &= \mathbb{E}\{b_{n-d} \mathbf{y}_n^H\} = \mathbb{E}\left\{b_{n-d} \begin{bmatrix} \mathbf{r}_n^H & \mathbf{r}_n^T & \hat{\mathbf{b}}_n^H \end{bmatrix}\right\} \\
\mathbf{C}_{yb} &= \mathbb{E}\{b_{n-d} \mathbf{y}_n\} = \mathbb{E}\left\{b_{n-d} \begin{bmatrix} \mathbf{r}_n \\ \mathbf{r}_n^* \\ \hat{\mathbf{b}}_n \end{bmatrix}\right\}.
\end{aligned} \quad (8)$$

Setting $\frac{\partial \epsilon_n}{\partial \boldsymbol{\alpha}_g} = (\mathbf{C}_{yy} \boldsymbol{\alpha}_g)^* - \mathbf{C}_{yb}^T$ to zero yields the optimum filter coefficients vector $\boldsymbol{\alpha}_g = \mathbf{C}_{yy}^{-1} \mathbf{C}_{yb}$.

2.4 Adaptive WL equalization

Equation (7) can also be resolved using adaptive filtering techniques, such as the least mean square (LMS) and the recursive least square (RLS) algorithms [8], leading to the WL LMS and WL RLS equalizers. The former can be formed as

$$\begin{aligned}\boldsymbol{\alpha}_{n+1} &= \boldsymbol{\alpha}_n + \mu e_n \mathbf{y}_n; \quad e_n = b_{n-d} - \boldsymbol{\alpha}_n^H \mathbf{y}_n \\ \mathbf{y}_n &= [\mathbf{r}_n^* \quad \mathbf{r}_n \quad \hat{\mathbf{b}}_n]^T,\end{aligned}$$

where μ is the step size, which is usually chosen such that $0 < \mu < 2/\lambda_{\max}$, where $\lambda_{\max}$ is the largest eigenvalue of the matrix $\mathbf{C}_{yy}$.

Compared to LMS, the RLS algorithm achieves faster convergence by utilizing the data in the past. The WL RLS equalizer can be formed as

$$\begin{aligned}\mathbf{P}_0 &= \delta^{-1} \mathbf{I}; \quad \boldsymbol{\alpha}_0 = \mathbf{0} \\ e_n &= b_{n-d} - z_n = b_{n-d} - \frac{1}{2} \mathbf{a}_{n-1}^H \mathbf{y}_n \\ \pi_n &= \mathbf{P}_{n-1} \mathbf{y}_n; \quad \mathbf{k}_n = \frac{\pi_n}{\lambda + \mathbf{y}_n^H \pi_n} \\ \mathbf{P}_n &= \lambda^{-1} [\mathbf{P}_{n-1} - \mathbf{k}_n \mathbf{y}_n^H \mathbf{P}_{n-1}] \\ \boldsymbol{\alpha}_n &= \boldsymbol{\alpha}_{n-1} + e_n \mathbf{k}_n,\end{aligned}$$

where δ is a small positive constant for high SNR and a large positive constant for low SNR.

It should be noted that the WL schemes (for both DFE and its adaptive versions) require only a feedback filter with real-valued coefficients, whereas the feedback filters of conventional DFE schemes have complex-valued coefficients.

2.5 Numerical results

Numerical results are presented in this section to assess the performance of the discussed algorithms. In our simulations, we use the broadband fixed wireless access (BFWA) channel specified in IEEE 802.16 standard [9]. In particular, the 3-tap Stanford University Interim (SUI-3) channel model proposed in [10] is considered. The channel coefficients vary from one block to another, however, they are assumed to remain constant during the transmission of one block of data. During each Monte-Carlo run, the block size is set to 1000 BPSK symbols, which are transmitted over the SUI-3 BFWA channels. The simulation curves are obtained by averaging the simulation results over 1000 channel realizations.

Fig. 2 shows that the WL MMSE equalizer performs better than the conventional linear MMSE equalizer. The performance gain can be up to 1 dB. The WL DFE outperforms the conventional DFE. The difference is a little less than in the MMSE case, but still noticeable. In our simulations, The filter length is set to 8 taps for the MMSE equalizers and 5 feedforward taps, 3 feedback taps for the DFEs. The figure also shows that the use of decision feedback improves the equalization performance and DFEs are in general superior to MMSE equalizers with the same length.

The performance of different adaptive equalizers is compared in Fig. 3. The performance improvement by applying WLP is most obvious for the LMS algorithm. The gain achieved by the WL LMS compared to the conventional LMS can be as large as 4 dB. For the RLS scheme, the gain achieved by applying WLP is about 1.5 dB.

Figure 2. Performance of MMSE and DFE equalization for BFWA channel.

Figure 3. Performance of adaptive equalization for BFWA channel.

Figure 4. Performance of different WL equalizers for BFWA channel.

Finally, different WL equalization algorithms are compared in Fig. 4. Apparently, the WL LMS yields the worst performance, it is, however, also the simplest of all. The WL RLS achieves faster convergence and better performance than the WL LMS, but its performance is inferior to the non-adaptive WL MMSE and WL DFE equalizers with the same length. The performance of the WL DFE is the best of all the WL equalizers.

3 WLP for TR-STBC coded system

An effective solution for meeting the high data rate and high quality of service requirements is to use space-time coding (STC) techniques [11, 12] employing multiple antennas. Space-time codes were originally designed for flat fading channels and did not consider the ISI introduced by frequency-selective channels. In [13], the two-antenna transmit diversity scheme [12] proposed by Alamouti was generalized for frequency selective channels, where the transmitted signals are coded on a block-to-block basis rather than a symbol-by-symbol basis. This is so-called time-reversal (TR) STBC.

In this section, we discuss how WLP can be applied to improve the performance of TR-STBC systems. Fig. 5 shows the baseband representation of the TR-STBC coded system under study. The information sequence $\mathbf{b}(t)$ is mapped into BPSK symbol sequence $\mathbf{s}(t)$. Unlike the Alamouti transmission scheme [12], which transmits two symbols from two antennas at a time, the TR-STBC encoder groups the symbols into two symbol blocks $\mathbf{S}_0$ and $\mathbf{S}_1$ at each antenna, each containing $N + 1$ symbols. Two symbol blocks are simultaneously transmitted from two antennas. The data transmission is divided into two time frames. During the first time frame, $\mathbf{S}_0 = \{s_0(0), s_0(1), \dots, s_0(N)\}$ is transmitted from the first antenna; and $\mathbf{S}_1 = \{s_1(0), s_1(1), \dots, s_1(N)\}$ is transmitted from the second antenna. During the second transmission frame, the first antenna transmits a time reversed, complex conjugated and sign inverted ver-

Figure 5. Diagram for the TR-STBC coded system.

sion of S_1 , denoted as $-\bar{S}_1$; the second antenna transmits a time reversed, complex conjugated version of S_0 , denoted as $\bar{S}_0$. The task of the receiver is to detect the transmitted information sequence $\mathbf{b}(t)$ given the received observation $\mathbf{y}(t)$ which is corrupted with ISI and AWGN noise $\mathbf{n}(t)$.

We consider the use of two transmit antennas and one receive antenna. The channel between the transmit antenna tx0 and the receive antenna rx0 can be represented by a discrete-time filter as $h(z^{-1}) = \sum_{t=0}^{T-1} h_t z^{-t}$; the channel between the transmit antenna tx1 and the receive antenna rx0 can be represented by a discrete-time filter as $c(z^{-1}) = \sum_{t=0}^{T-1} c_t z^{-t}$. The channel coefficients are assumed to remain constant during the transmission of one block of data. The received signal during the first time frame $y_0(t)$ and the second time frame $y_1(t)$ can be formed as

$$\underbrace{\begin{bmatrix} y_0(t) \\ y_1(t) \end{bmatrix}}_{\mathbf{y}(t)} = \underbrace{\begin{bmatrix} h(z^{-1}) & c(z^{-1}) \\ c^*(z) & -h^*(z) \end{bmatrix}}_{\mathbf{H}(z, z^{-1})} \underbrace{\begin{bmatrix} s_0(t) \\ s_1(t) \end{bmatrix}}_{\mathbf{s}(t)} + \underbrace{\begin{bmatrix} n_0(t) \\ n_1(t) \end{bmatrix}}_{\mathbf{n}(t)}$$

The receiver algorithm is illustrated in Fig. 6. The signal vector $\mathbf{y}(t)$ is first filtered by the matched filter $\mathbf{H}^*(z, z^{-1})$. The decoupled symbol streams $r_0(t)$ and $r_1(t)$ from the matched filter are each processed by an equalizer to derive an estimate of the transmitted symbol sequences $\tilde{s}_0(t)$ and $\tilde{s}_1(t)$, which are combined into a single symbol sequence, and demodulated to obtain an estimate of transmitted information bits $\{\hat{b}_n\}$. For a more detailed description of the TR-STBC algorithm and its application to the BFWA systems, readers are referred to [13] and [14].

The TR-STBC itself only decouples the symbol streams from two transmit antennas. It, however, does not resolve the ISI in each symbol stream. The ISI of course still needs to be handled by an equalizer, which is applied to the decoupled symbol streams $r_0(t), r_1(t)$ before making a decision on the transmitted symbols and bits. Fig. 7 compares the performance of the linear and widely linear MMSE equalizer, and the filter length is set to 5-tap in both cases. During each Monte-Carlo run, the block size $N + 1$ is set to 250 for S_0 and S_1 . The antenna correlation coefficient is set to 0.4. The simulation curves are obtained by averaging the simulation results over 1000 channel realizations. Fig. 7 shows that the proposed WL equalizer performs better than the conventional linear equalizer in the TR-STBC coded BFWA system. The performance gain can be up to over 1 dB.

4 Widely Linear Approach to Turbo Equalization

In this section, we apply WLP to systems with channel coding and derive a new approach to turbo equalization. The transmission system under study will be briefly described next. The information sequence $\{u_n\}$ is convolutionally encoded into code bits $\{c_n\}$, which are subsequently interleaved and mapped into BPSK symbols $\{b_n\}$, which are transmitted over an ISI channel. A turbo equalizer is employed in the

Figure 6. Receiver structure for the STBC coded BFWA system.

Figure 7. Performance of TR-STBC coded BFWA system.

Figure 8. Proposed turbo equalization scheme.

receiver as illustrated in Fig. 8. The received signal r_n is passed to the equalizer, which computes the log-likelihood ratio (LLR) value of b_n , denoted by $\lambda(b_n; O)$. The derived LLRs are deinterleaved to yield $\lambda(c_n; I)$. Based on the soft input $\lambda(c_n; I)$, a soft-input, soft-output (SISO) channel decoder computes the LLR of each information bit $\lambda(u_n; O)$ and each coded bit $\lambda(c_n; O)$. The former is used to make decision on the transmitted information bit u_n at the final iteration, and the latter is interleaved to yield $\lambda(b_n; I)$ which is the input to the equalizer at the next iteration. We use the notations $\lambda(\cdot; I)$ and $\lambda(\cdot; O)$ to denote the input and output ports of a SISO device, respectively. Several SISO algorithms can be used to compute the LLRs at the channel decoder output. For the purpose of this study, we consider the use of Log-MAP algorithm. The equalization algorithm will now be described in detail.

The interference canceled version of the received vector is given as $\mathbf{r}'_n = \mathbf{r}_n - \mathbf{H}\bar{\mathbf{b}}_n = \mathbf{H}[\mathbf{b}_n - \bar{\mathbf{b}}_n] + \mathbf{v}_n$, where $\mathbf{r}_n = [r_n \ r_{n+1} \ \dots \ r_{n+L-1}]^T$, $\mathbf{v}_n = [v_n \ v_{n+1} \ \dots \ v_{n+L-1}]^T$ denote the received vector and the noise vector, respectively; and $\mathbf{r}'_n$ is the ISI canceled version of $\mathbf{r}_n$. The vector $\mathbf{b}_n$ and $\bar{\mathbf{b}}_n$ are defined respectively as $\mathbf{b}_n = [b_{n-L+1} \ \dots \ b_{n-1} \ b_n \ b_{n+1} \ \dots \ b_{n+L-1}]^T$, $\bar{\mathbf{b}}_n = [\bar{b}_{n-L+1} \ \dots \ \bar{b}_{n-1} \ 0 \ \bar{b}_{n+1} \ \dots \ \bar{b}_{n+L-1}]^T$, where the latter contains the estimate of the interference symbols from the previous iteration. The derivation of $\bar{\mathbf{b}}_n$ will be given later on. The channel matrix is defined as

$$\mathbf{H} = \begin{bmatrix} h_{L-1} & h_{L-2} & \dots & h_0 & 0 & 0 & \dots & 0 \\ 0 & h_{L-1} & \dots & h_1 & h_0 & 0 & \dots & 0 \\ \vdots & \vdots & \vdots & & & & & \\ 0 & 0 & 0 & \dots & h_{L-1} & h_{L-2} & \dots & h_0 \end{bmatrix}.$$

In order to further suppress the residual interference in $\mathbf{r}'_n$, an instantaneous linear MMSE filter is applied to $\mathbf{r}'_n$, to obtain $z_n = \mathbf{w}_n^H \mathbf{r}'_n$, where the filter coefficient vector $\mathbf{w}_n$ is chosen to minimize $e_n^L = E\{[b_n - \mathbf{w}_n^H \mathbf{r}'_n]^2\}$. In order to apply WLP, we need to alter this cost function to

$$e_n^{\text{WL}} = E\{[b_n - \text{Re}\{\mathbf{w}_n^H \mathbf{r}'_n\}]^2\}. \quad (9)$$

It was shown in [6] that $e_n^{\text{WL}} < e_n^L$, leading to an equalizer with enhanced performance. In order to find solution to (9), we reform

$$\begin{aligned} \text{Re}\{\mathbf{w}_n^H \mathbf{r}'_n\} &= \frac{1}{2}[\mathbf{w}_n^H \mathbf{r}'_n + (\mathbf{w}_n^H \mathbf{r}'_n)^*] \\ &= \frac{1}{2} \begin{bmatrix} \mathbf{w}_n \\ \mathbf{w}_n^* \end{bmatrix}^H \begin{bmatrix} \mathbf{r}'_n \\ \mathbf{r}'_n^* \end{bmatrix} = \frac{1}{2} \boldsymbol{\alpha}_n^H \mathbf{y}_n, \end{aligned}$$

where $\boldsymbol{\alpha}_n = [\mathbf{w}_n \ \mathbf{w}_n^*]^T$ and $\mathbf{y}_n = [\mathbf{r}'_n \ \mathbf{r}'_n^*]^T$. Substituting it into (9) yields

$$\begin{aligned} \epsilon_n^{\text{WL}} &= E\{|\text{Re}\{\mathbf{w}_n^H \mathbf{r}'_n\} - b_n|^2\} = E\{(\frac{1}{2}\boldsymbol{\alpha}_n^H \mathbf{y}_n - b_n)(\frac{1}{2}\boldsymbol{\alpha}_n^H \mathbf{y}_n - b_n)^*\} \\ &= \frac{1}{4}\boldsymbol{\alpha}_n^H \mathbf{C}_{\mathbf{y}\mathbf{y}} \boldsymbol{\alpha}_n - \frac{1}{2}\boldsymbol{\alpha}_n^H \mathbf{C}_{\mathbf{y}b} - \frac{1}{2}\mathbf{C}_{b\mathbf{y}} \boldsymbol{\alpha}_n + \sigma_b^2, \end{aligned} \quad (10)$$

where

$$\begin{aligned}
\mathbf{C}_{yy} &= \mathbb{E}\{\mathbf{y}_n \mathbf{y}_n^H\} = \mathbb{E}\left\{\begin{bmatrix} \mathbf{r}'_n \\ \mathbf{r}^*_{n'} \end{bmatrix} \begin{bmatrix} \mathbf{r}'_n{}^H & \mathbf{r}'_n{}^T \end{bmatrix}\right\} = \begin{bmatrix} \mathbf{C}_{\mathbf{r}'\mathbf{r}'} & \tilde{\mathbf{C}}_{\mathbf{r}'\mathbf{r}'} \\ \tilde{\mathbf{C}}_{\mathbf{r}'\mathbf{r}'}^* & \mathbf{C}_{\mathbf{r}'\mathbf{r}'}^* \end{bmatrix} \\
&= \begin{bmatrix} \mathbf{H}\mathbf{V}_n\mathbf{H}^H + N_0\mathbf{I} & \mathbf{H}\mathbf{V}_n\mathbf{H}^T \\ \mathbf{H}^*\mathbf{V}_n\mathbf{H}^H & \mathbf{H}^*\mathbf{V}_n\mathbf{H}^T + N_0\mathbf{I} \end{bmatrix}; \\
\mathbf{C}_{yb} &= \mathbf{C}_{by}^H = \mathbb{E}\{\mathbf{y}_n b_n\} = \begin{bmatrix} \mathbf{C}_{\mathbf{r}'b} \\ \tilde{\mathbf{C}}_{\mathbf{r}'b} \end{bmatrix} = \begin{bmatrix} \mathbf{h} \\ \mathbf{h}^* \end{bmatrix}; \\
\mathbf{h} &= [h_0 \ h_1 \ \dots \ h_{L-1}]^T; \\
\mathbf{V}_n &= \text{diag}\{[1 - |\bar{b}_{n-L+1}|^2 \ \dots \ 1 - |\bar{b}_{n-1}|^2 \ 1 \\ &\quad 1 - |\bar{b}_{n+1}|^2 \ \dots \ 1 - |\bar{b}_{n+L-1}|^2]\}.
\end{aligned} \tag{11}$$

Differentiating ϵ_n^{WL} in (10) with respect to $\boldsymbol{\alpha}_n$, and setting it to zero, yields the optimum filter coefficient vector and the resulting filter output

$$\begin{aligned}
\boldsymbol{\alpha}_{\text{opt}} &= \begin{bmatrix} \mathbf{w} \\ \mathbf{w}^* \end{bmatrix} = 2\mathbf{C}_{yy}^{-1}\mathbf{C}_{by}^H = 2\mathbf{C}_{yy}^{-1}\mathbf{C}_{yb}; \\
z_n &= \frac{1}{2}\boldsymbol{\alpha}_{\text{opt}}^H \mathbf{y}_n.
\end{aligned} \tag{12}$$

Note that with the conventional linear MMSE algorithm, the filter coefficient vector is calculated using only the autocorrelation of the observation $\mathbf{C}_{\mathbf{r}'\mathbf{r}'}$ and the cross-correlation between the observation and desired signal $\mathbf{C}_{\mathbf{r}'b}$, i.e., $\mathbf{w}_n = \mathbf{C}_{\mathbf{r}'\mathbf{r}'}^{-1}\mathbf{C}_{\mathbf{r}'b} = \mathbf{C}_{\mathbf{r}'\mathbf{r}'}^{-1}\mathbf{h}$. The pseudo-autocorrelation matrix $\tilde{\mathbf{C}}_{\mathbf{r}'\mathbf{r}'}$ and the pseudo-crosscorrelation $\tilde{\mathbf{C}}_{\mathbf{r}'b}$ are implicitly assumed to be zero. However, this is not the case for the transmission of real valued data over a complex valued channel. Omitting the rotationally variant nature of the signal leads to sub-optimum solutions. It is apparent from (11) and (12) that the complexity increase by applying WLP is due to the inverse operation of the $2L \times 2L$ matrix $\mathbf{C}_{yy}$; whereas a conventional MMSE equalizer only involves an inverse operation of the $L \times L$ matrix $\mathbf{C}_{\mathbf{r}'\mathbf{r}'}$.

In what follows, we explain how the soft estimate of the bit b_n , denoted as $\bar{b}_n$ is derived based on the WL filter output so that the interference cancellation and iterative process can be carried out. It was shown in [15] that the MMSE filter output z_n can be well approximated as a Gaussian random variable with mean μ and variance σ_η^2 , i.e., $z_n = \mu b_n + \eta$ where $\eta \sim \mathcal{N}(0, \sigma_\eta^2)$. The parameters μ, σ_η^2 can be determined by taking expectation with respect to the interfering symbols and the channel noise vector

$$\begin{aligned}
\mu &= \mathbb{E}\{z_n b_n\} = \mathbb{E}\left\{\frac{1}{2}\boldsymbol{\alpha}_{\text{opt}}^H \begin{bmatrix} \mathbf{H}[\mathbf{b}_n - \bar{\mathbf{b}}_n] + \mathbf{v}_n \\ \mathbf{H}^*[\mathbf{b}_n - \bar{\mathbf{b}}_n] + \mathbf{v}_n^* \end{bmatrix} b_n\right\} \\
&= \frac{1}{2}\boldsymbol{\alpha}_{\text{opt}}^H \mathbf{C}_{yb}; \\
\sigma_\eta^2 &= \text{var}[z_n] = \mathbb{E}\{z_n^2\} - \mu^2 = \frac{1}{4}\boldsymbol{\alpha}_{\text{opt}}^H \mathbf{C}_{yy} \boldsymbol{\alpha}_{\text{opt}} - \mu^2 \\
&= \mathbf{C}_{yb}^H \mathbf{C}_{yy}^{-1} \mathbf{C}_{yy} \mathbf{C}_{yy}^{-1} \mathbf{C}_{yb} - \mu^2 = \mu - \mu^2.
\end{aligned}$$

Therefore, the conditional PDF of the equalizer output can be expressed as

$$f(z_n | b_m) = \frac{1}{\sqrt{2\pi}\sigma_\eta} \exp\left(-\frac{|z_n - \mu b_m|^2}{2\sigma_\eta^2}\right),$$

Figure 9. Performance of TR-STBC coded BFWA system.

and the LLR value and soft estimate of the bit b_n can be calculated as

$$\lambda(b_n) = \ln \frac{f(z_n | b_n = +1)}{f(z_n | b_n = -1)} = \frac{2 \operatorname{Re}\{z_n\}}{1 - \mu};$$

$$\bar{b}_n = \tanh(\lambda(b_n)/2). \quad (13)$$

Next, we compare the performance of the proposed scheme with that of the linear MMSE turbo equalization schemes introduced in [15]. Note that the schemes from these two references are identical in a single-user scenario. In the simulations, we employ a rate 1/2 Maximum Free Distance convolutional code with constraint length 5 and generator polynomials (23, 35) in octal form. During each Monte-Carlo run, the block size is set to 2044 information bits followed by 4 tail bits to terminate the trellis, which corresponds to $2048 \times 2 = 4096$ coded bits. They are interleaved by a random interleaver and transmitted over a ISI channel. Fig. 9 shows the performance comparison for the BFWA SUI-3 channel. The curves are averaged over at least 500 channel realizations. In both cases, it takes only 3 stages for the algorithms to converge. When the system reaches convergence, a performance gain of 0.5 dB is observed by applying WLP comparing to the conventional linear MMSE approach at a BER between 10^{-3} and 10^{-4} .

Finally, the two schemes are compared in Figure 10 for a static channel which has 5 taps and impulse response $h[n] = (2 - 0.4j)\delta[n] + (1.5 + 1.8j)\delta[n-1] + \delta[n-2] + (1.2 - 1.3j)\delta[n-3] + (0.8 + 1.6j)\delta[n-4]$. The channel is normalized so that $P = \sum_{n=0}^4 |h[n]|^2 = 1$. It takes 4 stages for both algorithms to converge. Compared to the initial stage with one time equalization and Log-MAP decoding, the subsequent turbo equalization stages achieve much better performance. The WL turbo equalizer generally outperforms its linear counterpart except at $E_b/N_0 = 4$ dB upon convergence. The gain is most obvious at the second stage.

Figure 10. Comparison of different turbo equalization schemes for 5-tap static channel.

5 Conclusions

In this paper, we apply widely linear processing technique to frequency selective channels and propose several WL equalization schemes, which are designed under a revised mean square error criterion. The WL schemes are evaluated in the single-input, single-output, as well as the TR-STBC coded BFWA systems and demonstrate superior performance compared to the conventional equalizers. A WLP approach to turbo equalization is also introduced and is shown to achieve better performance than conventional filter based turbo equalization. The proposed WLP based schemes can be employed in practical systems in order to combat ISI more effectively and improve the system performance and capacity.

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